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# Fabrication of suspended thin film resonator for application of RF bandpass filter

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## Abstract

Characteristics of AlN thin film and thin film resonator for RF bandpass filter have been studied. AlN thin films were deposited by RF magnetron sputter system. Deposition parameters such as N<sub>2</sub> contents, Ar and N<sub>2</sub> partial pressures, and the distance between metal target and substrate were found to affect the piezoelectric response. To fabricate the suspended thin film resonator (STFR) using the piezoelectric AlN thin film, the etching of AlN and the surface micromachining process were conducted. The thickness of AlN film and membrane for the STFR are 2 and 15  $\mu$ m, respectively. This membrane was fabricated by SOI technology. The device with the dimension of 160×160  $\mu$ m<sup>2</sup> has a resonant frequency of 1.653 GHz, a  $K_{eff}^2$  of 2.4%, a bandwidth of 17 MHz, and a quality factor of 91.7. The device with the dimension of 200×200  $\mu$ m<sup>2</sup> has a resonant frequency of 1.641 GHz, a  $K_{eff}^2$  of 1.2%, and a bandwidth of 9 MHz, and a quality factor of 50.2.

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# 1. Introduction

There have been studies to use piezoelectric thin films as a component material for sensors and actuators. In particular, characteristics of frequency and impedance in RF filter of wireless communication systems are related to piezoelectric thin films such as AlN and ZnO. The critical factor of piezoelectric thin films is the *c*-axis orientation and the lattice parameter because of the vibration of thickness mode. Every portable product such as pager, cellular phone, navigation, satellite communication, and various forms of data communication operating high frequency requires the use of a bandpass filter to selectively transmit signals within the passband. Thin film resonator (TFR) has advantages of

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small size, low power, low insertion loss, bandpass filter with high frequency, and good bandwidth [1–6].

TFR consisting in piezoelectric thin film between two electrodes uses the standing wave formed by electromechanical coupling of piezoelectric characteristic. The boundary between the substrate and the bottom electrode in order to form the standing wave must satisfy the condition of air or vacuum. In order to satisfy the boundary condition, many researches for TFR with free standing membrane fabricated by microelectromechanical system (MEMS) technology have been studied [7–9].

In this paper, characteristics of AlN piezoelectric thin film are inspected and the suspended type thin film resonators (STFRs) using SOI technology are fabricated with the AlN piezoelectric thin film on Si (15  $\mu$ m) membrane. AlN piezoelectric thin film is deposited by RF magnetron sputter system. This membrane is freestanding to acoustically isolate from the Si substrate. The process was designed to be compatible with a silicon-integrated process.

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## 2. Experimental

# 2.1. Characteristics of AlN

The crystallization of AlN thin film is depended on the equipment and the condition of environment. All of deposition variables focus on the *c*-axis orientation. Deposition variables are N<sub>2</sub> contents, Ar and N<sub>2</sub> partial pressures, the distance between metal target and substrate and RF power. In the experiment result, the 20% reduction of N<sub>2</sub> contents is increased the (002) orientation and the distance variation between target and substrate from 6 to 10 cm shows the variation of the *c*axis orientation in AlN layer. Fig. 1(a) shows the XRD result of AlN crystallographic variation dependent on the deposition pressure and the distance between target and substrate. In Fig. 1(a), the minimum distance between target and substrate and the deposition pressure for the (002) orientation of AlN thin film are 6 cm and 1-10 mTorr, respectively. Fig. 1(b) shows SEM micrographs of the surface morphology and the cross-section of AlN thin film deposited with the optimum condition. Fig. 1(b) shows the columnar structure from the crosssection of AlN. In this figure, the average grain size is about 60 nm, and the deposition rate is 13 nm/min. Fig. 2 shows FT-IR spectrum and dielectric properties of the *c*-axis oriented AlN. The result of Fig. 2(b) analyzed with the HP 4194A impedance analyzer. The relative

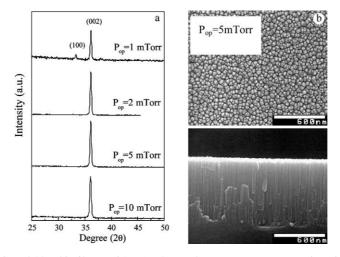


Fig. 1. Highly *c*-axis (002) oriented AlN thin film on Si (100) substrate by RF magnetron sputtering without substrate heating. (High deposition rate  $\approx$  13 nm/min).

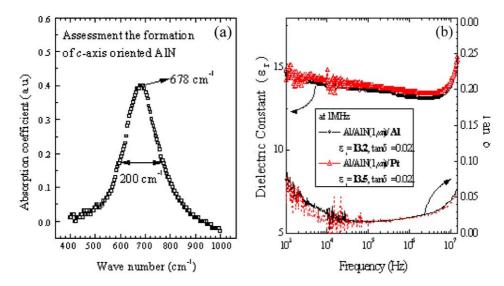


Fig. 2. FT-IR spectrum and dielectric properties of highly c-axis (002) oriented AlN thin film on Si (100) substrate.

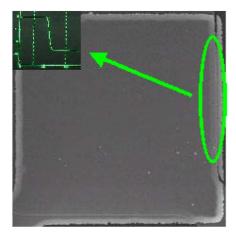


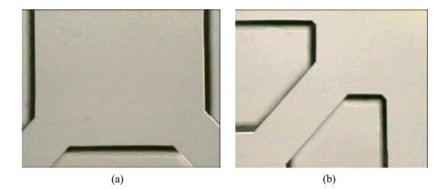
Fig. 3. SEM micrograph of pattern etched by a dilute TMAH etchant.

dielectric constant is 13.2 at 1 MHz and the loss tangent is 0.021. It shows higher result than the dielectric constant ( $\epsilon r = 8.5$ ) of polycrystalline AlN because of the *c*axis orientation [10–12].

The AlN etch is the important process because the resonances of thin film bulk acoustic resonators are determined by the thickness of the AlN piezoelectric between the two reflecting surfaces. Unfortunately, all the known AlN etchants attack aluminum, so passivation layers need to be employed. We used a dilute TMAH solution of 0.6 wt.% in order to protect the overetch of pattern. At room temperature, the AlN etch rate was 200 nm/min. Advantages of this etch are a good coverage, the etch at room temperature, and the simplicity. Fig. 3 shows SEM micrograph after AlN etch.

### 2.2. Process of suspended thin film resonator

Suspended type thin film bulk acoustic resonators (STFRs) are fabricated using surface micromachining technologies. Fig. 4 shows photographs of 15 µm suspended membrane. This was formed by using Si/SiO<sub>2</sub>  $(15 \,\mu\text{m}/1 \,\mu\text{m})$  SOI wafer. Fig. 5 shows cross-section view of STFR and SEM micrographs of the suspended membrane. Initially, a Si/SiO2 (15 µm/1 µm) SOI wafer was prepared. The pattern of window open was formed. The 15  $\mu$ m membrane by etching 1  $\mu$ m SiO<sub>2</sub> was formed. For the STFR, the resonating stack is supported by silicon membrane. Since silicon membrane is part of the resonating stack, its properties strongly affect the resonator  $K_{\text{eff}}^2$  and Q. All layers within the acoustic stack play a role in determining the frequencies of the resonating modes. The roughness of all layers within the acoustic stack influenced the device Q because the device Q is a measure of loss within the device. This loss can



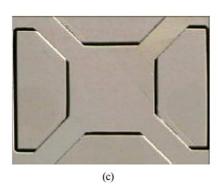


Fig. 4. Photographs of 15 µm suspended membrane (top view).

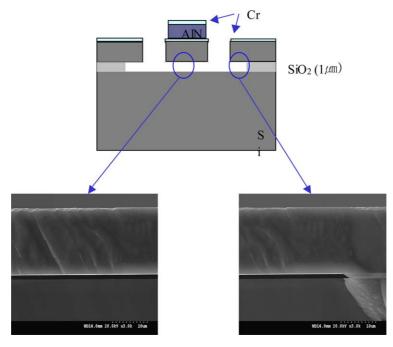


Fig. 5. Cross-section view STER and SEM micrographs of the suspended membrane.

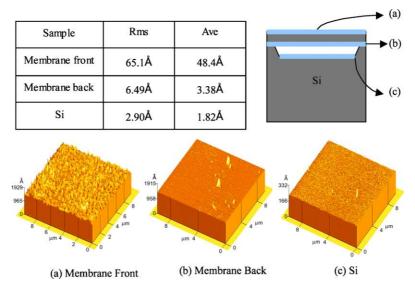
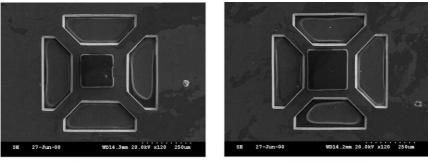


Fig. 6. AFM micrographs for surface roughness of the suspended membrane.

result from acoustic loss within the acoustic stack, scattering of the acoustic waves from rough surfaces or grain boundaries, and acoustic radiation into the surrounding area of the device. Fig. 6 shows AFM measurement results of surface roughness for the suspended membrane. The average roughness of membrane front and membrane back is 48.4 and 3.38 Å, respectively. The roughness of membrane front compared to Si roughness is large. From this result, we can know that the rough-

ness of membrane front is much affected in the loss of membrane. However, if it is compared to AlN roughness, this result can be ignored. Cr thin film (3000 Å) as the bottom electrode was deposited by using thin-film sputtering techniques. Although several researchers have used Al thin film as the electrode, we used Cr thin film because Al thin film was etched by the etchant of AlN piezoelectric thin film. This process was reduced the unnecessary process such as the passivation layer for the



(a)160µm×160µm

(b) 200µm×200µm

Fig. 7. SEM microscopes of the fabricated STFR.

bottom electrode. The 2  $\mu$ m AlN piezoelectric film was deposited on top of the Cr bottom electrode using an RF magnetron sputtering system. After the deposition of the piezoelectric AlN, the top electrode was deposited Cr thin film (3000 Å) in the same way on the AlN thin film. The top electrode and the piezoelectric AlN were etched and patterned. The AlN thin film piezoelectric was etched using a dilute TMAH etchant. STFRs are suspended from the silicon substrate and have an air-gap for acoustically reflecting surfaces. Fig. 7 shows SEM microscopes of the fabricated STFR.

## 3. Results and discussion

The STFR structures were tested in a one-port configuration. The one-port results were obtained using a HP 8510C network analyzer and a ground-signal-ground type probe station. For every measurement sequence, the RF probing system was calibrated over a selected frequency range from 0.5 to 4 GHz. Fig. 8 shows measured wideband response of the reflection coefficient dependent on the respective dimension of  $160 \times 160 \ \mu m^2$  and  $200 \times 200 \ \mu m^2$ . The area of the top electrode determines the active area of the TFR device. In Fig. 8, it can know the difference of measured responses dependent on the active area because the capacitive impedance of the active area underneath the electrode is related to the broadband capacitance value and the total capacitance of AlN piezoelectric layer depends on the dimension of the top electrode. Fig. 9 shows measured results of the  $S_{11}$ narrowband response in a low point for the respective dimension. The reflection coefficient ( $S_{11}$ ) of -19.3 dB at 1.653 GHz of the resonance frequency in Fig. 9(a) was obtained. In Fig. 9(b), the reflection coefficient  $(S_{11})$  of -26.1 dB at 1.641 GHz of the resonance frequency was also obtained. The interval between the resonance frequencies was 220 MHz.

Fig. 10 shows measured narrowband response of the input impedance for the STFR. This graph has a local impedance maximum and impedance minimum. The frequency of the minimum is called the series resonance

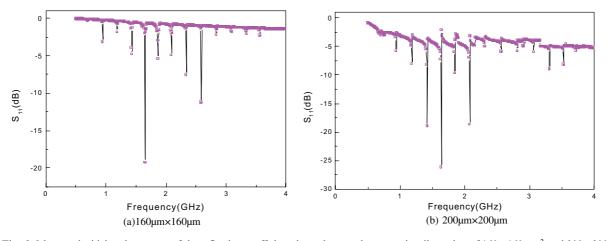


Fig. 8. Measured wideband response of the reflection coefficient dependent on the respective dimension of  $160 \times 160 \ \mu m^2$  and  $200 \times 200 \ \mu m^2$ .

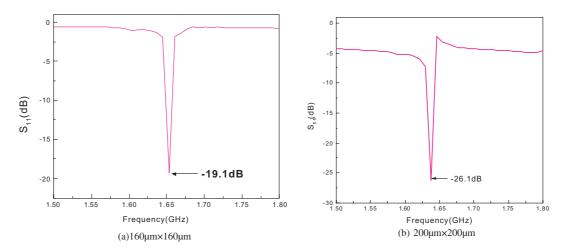


Fig. 9. Measured results of the  $S_{11}$  narrowband response for the respective dimension.

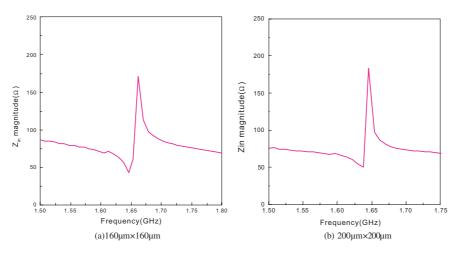


Fig. 10. Measured narrowband response of the input impedance for the STFR.

(fs) and the frequency of the maximum is the parallel resonance (fp). In the dimension of  $160 \times 160 \ \mu m^2$ , the series resonance and the parallel resonance were 1.645 and 1.662 GHz, respectively. In the dimension of  $200 \times 200 \ \mu m^2$ , the series resonance and the parallel resonance were 1.637 and 1.646 GHz, respectively. The separation of the resonances can be related to the piezoelectric, electric, and mechanical properties of the material. For the impedance characteristics, the effective electromechanical coupling coefficient is defined [13].  $K_{\rm eff}^2$  is a measure of the relative frequency spacing between the series and parallel resonance and ultimately determines the maximum bandwidth. The effective electromechanical coupling coefficient  $(K_{eff}^2)$  is 2.4% and the bandwidth is 17 MHz for this device with the dimension of  $160 \times 160 \ \mu m^2$ . The effective electromechanical coupling coefficient  $(K_{\text{eff}}^2)$  is 1.2% and the bandwidth is 9 MHz for this device with the dimension of  $200 \times 200 \ \mu\text{m}^2$ .

Fig. 11 shows measured narrowband phase response of the input impedance for the STFR. The phase response can be differentiated, and the quality factor (Q) of the device can be extracted [13]. The Q of a resonance is a measure of the acoustic loss in the device. It is essential that  $f_s$  and  $f_p$  are defined because both  $K_{eff}^2$  and Q are sensitive functions of  $f_s$  and  $f_p$ . The frequencies of maximum phase slope can also be used to define the series and parallel resonance. In Fig. 11(a), the series quality factor ( $Q_s$ ) and the parallel quality factor ( $Q_p$ ) are 91.7 and 87.7, respectively. In Fig. 11(b), the series quality factor ( $Q_s$ ) and the parallel quality factor ( $Q_p$ ) are 50.2 and 63.2, respectively.

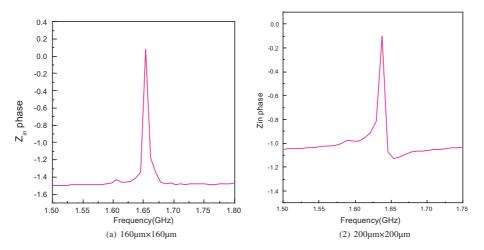


Fig. 11. Measured narrowband phase response of the input impedance for the STFR.

## 4. Conclusions

AlN as the piezoelectric material was deposited by RF magnetron sputter system. Deposition parameters such as N<sub>2</sub> contents, Ar and N<sub>2</sub> partial pressures, and the distance between metal target and substrate were investigated. The STFR was fabricated and measured. The membrane release using SOI technology increases the manufacturability of the process by reducing the potential for a TFR to stick to the underlying substrate and the simplicity of the process. The AlN etch applied this paper produce highly an improvement of the process in terms of time consumption and etch profile. The device with the dimension of  $160 \times 160 \ \mu\text{m}^2$  has a resonant frequency of 1.653 GHz, a  $K_{\text{eff}}^2$  of 2.4%, a bandwidth of 17 MHz, and a quality factor of 91.7. The device with the dimension of  $200 \times 200 \ \mu\text{m}^2$  has a resonant frequency of 1.641 GHz, a  $K_{\rm eff}^2$  of 1.2%, and a bandwidth of 9 MHz, and a quality factor of 50.2. This device has advantages of integration, mass production, small size, and low cost compared to the previously used resonators. The STFR process is compatible with active silicon devices and was developed integrated RF bandpass filters.

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